

10/594868

IAP5 Rec'd PCT/PTO 28 SEP 2006  
PATENT

S/N Unknown

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	ROSE et al.	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	September 28, 2006	Docket No.:	09997.0138USWO
Title:	METHOD AND APPARATUS FOR COATING A SUBSTRATE USING DIELECTRIC BARRIER DISCHARGE		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 841294907 US  
Date of Deposit: September 28, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By:   
Name: John Junkers

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Enclosed for the Examiner's information is a copy of the International Search Report. At least some of the references were cited in an International Search Report mailed June 28, 2005.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

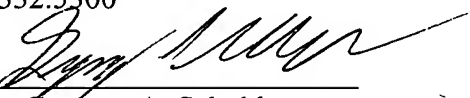


Dated: September 28, 2006

GAS/ncb

Respectfully submitted,

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Minneapolis, MN 55402-0903  
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By:   
\_\_\_\_\_  
Gregory A. Sebold  
Reg. No.: 33,280

Sheet 1 of 1

<b>FORM 1449*</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 09997.0138USWO		Application Number: 10/594868
	Applicant: ROSE et al.		
	Filing Date: September 28, 2006		Group Art Unit: Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,664,737 B1	12-2003	Berry et al.				
	2002/0182319 A1	12-2002	Ben-Malek et al.				
	2003/0104140 A1	06-2003	Goodwin et al.				
	5,523,124	06-1996	Slootman et al.				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2004/028220 A1	04-2004	WIPO				
	1 073 091 A2	01-2001	EPO				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							

**23552**  
PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	